

If your company processes, transmits or stores sensitive customer data or personally identifiable information; provides software services to other businesses; or hosts software or servers or conducts transactions through the cloud, then you are aware of the importance of information technology (IT) risk and compliance.

Overview of Our IT Risk & Compliance Services



SOC 1, 2 & 3 Audits (includes SSAE 16) We issue audit reports on the design and/or operating effectiveness of internal controls performed by organizations who provide services or applications to other entities. We help these service organizations with the design of their control structure and benchmark their controls against similar companies.



IT Risk Assessments & Consulting We perform evaluations of IT control structures to help companies understand the risks affecting their environment and assist them with assessing potential threat impacts and likelihoods of occurrence. This process helps companies to inventory potential IT exposures, which we can help address through the development of a remediation plan.



HIPAA Audit Preparation We evaluate companies' compliance with the requirements of the Health Insurance Portability and Accountability Act (HIPAA). This service includes identifying the Protected Health Information (PHI) maintained by the organization, the exposures associated with that information, control gap identification and remediation assistance.



FFIEC Audit Preparation We assess companies' compliance with the requirements of the Federal Financial Institutions Examination Council (FFIEC). These services include providing assistance with the development of a risk assessment, creation of internal control policies, control gap identification and remediation assistance.



Model Validation Reviews We perform operation of applications reviews to verify that they are functioning as expected based on defined scenarios. These services include providing a report to management regarding the procedures performed and the results of those procedures.

What Sets Us Apart?

- We maintain a dedicated IT audit team who perform these services full time.
- Our team members have a range of knowledge regarding various IT environments.
- Our experience allows for timely identification and reporting of potential issues with a focus on value-added recommendations instead of just compliance obligations.
- We maintain frameworks of IT internal controls, which we utilize to suggest control design remediation options.
- Our team is flexible and works to accommodate clients' schedules.
- Our engagement management, including the requests of supporting documentation, is organized.

About Our IT Risk & Compliance Team

We have more than 10 full-time professionals devoted to serving clients on IT Risk & Compliance projects. Not only do our team members have industry-standard certifications, such as CISA, CGEIT, CPA and CRISC, all of our partners and managers have experience at Big Four accounting firms.

Who We Serve

We serve a diverse mix of clients who support industries, such as banking and financial services, healthcare, insurance, energy/oil and gas, legal, higher education and manufacturing. The services our clients provide include:

- SaaS, MaaS, IDaaS, ITaaS
- Datacenter/Colocation
- Software Development
- Cloud Computing
- Claims Processing
- IT Services

WE HAVE ISSUED
MORE THAN 125 SOC
(formerly SAS 70) **reports**
IN THE LAST FEW YEARS



 WE HAVE MORE THAN
50 CURRENT **IT RISK &**
COMPLIANCE CLIENTS

Our **IT Risk & Compliance partners** have
MORE THAN 35 YEARS OF **COMBINED EXPERIENCE**



We are a long-term customer of Holtzman Partners. Their thorough auditors have years of experience in SOC 1 and SOC 2, FFIEC and IT Risk Assessments. We've been able to count on them for multiple on-time audits. Their personnel are professional and friendly, and since the same personnel are assigned each time, they are familiar with our business and the security control implementations. That keeps the audit process crisp and efficient.

Jeff Jilg

Vice President Security and Compliance

Buzz Points